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RICHARD W. WIERING
U.S. DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

MBP

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SMITHKLINE BEECHAM CORPORATION **E-filing**
GLAXOSMITHKLINE and McKESSON
CORPORATION

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

EMC

SAN FRANCISCO DIVISION

JERRY KELLUM as personal
representative of GEORGE L. KELLUM,
JR. (deceased),

Plaintiff,

v.

SMITHKLINE BEECHAM
CORPORATION dba
GLAXOSMITHKLINE; and McKESSON
CORPORATION,

Defendants.

Case No.

**NOTICE OF REMOVAL AND
REMOVAL ACTION UNDER 28 U.S.C.
§ 1441(B) (DIVERSITY) and 28 U.S.C. §
1441(C) (FEDERAL QUESTION) OF
DEFENDANT SMITHKLINE
BEECHAM CORPORATION dba
GLAXOSMITHKLINE**

TO THE CLERK OF THE COURT:

Defendant Smithkline Beecham Corporation d/b/a GlaxoSmithKline ("GSK"), hereby removes to this court, the state action described below. Removal is warranted under 28 U.S.C. § 1441 because this is an action over which this Court has original jurisdiction under 28 U.S.C. §§ 1331 and 1332.

I. BACKGROUND

1. On November February 28, 2008, Plaintiff Jerry Kellum as Personal Representative of the Estate of George L. Kellum, Jr., ("Plaintiff"), represented by The

1 Miller Firm of Orange, Virginia, commenced this action in the Superior Court of the
2 State of California for the County of San Francisco. A true and correct copy of the
3 Complaint in the action is attached as Exhibit "A" to the Declaration of Krista L. Cosner
4 in Support of Notice of Removal and Removal Action under 28 U.S.C. § 1441(b)
5 (Diversity) and 28 U.S.C. § 1441(c) (Federal Question) of Defendant SmithKline
6 Beecham Corporation dba GlaxoSmithKline (hereinafter "Cosner Decl.").

7 2. Neither defendant has yet been served with Plaintiff's Complaint.

8 3. There have been no additional proceedings in the state court action. Cosner
9 Decl. ¶ 2.

10 4. This is one of many cases that have been filed recently in both federal and
11 state court across the country involving the prescription drug Avandia®. Cosner Decl. ¶
12 6. Plaintiff's counsel, The Miller Firm, has filed Avandia cases in both state and federal
13 courts, but only in the cases filed in California has The Miller Firm named McKesson, or
14 any alleged distributor of Avandia, as a defendant. Cosner Decl. ¶ 7.

15 5. On October 16, 2007, the Judicial Panel on Multidistrict Litigation
16 ("JPML") issued an order directing that then-pending Avandia-related cases be
17 transferred and coordinated for pretrial proceedings in the United States District Court for
18 the Eastern District of Pennsylvania, before the Honorable Cynthia M. Rufe, pursuant to
19 28 U.S.C. § 1407. *See Transfer Order, In re Avandia Marketing, Sales Practices and*
20 *Products Liability Litigation*, MDL 1871 (E.D.P.A.) (a true and correct copy of which is
21 attached as Exhibit "B" to Cosner Decl.). Additional Avandia-related cases pending in
22 federal court, which are common to the actions previously transferred to the Eastern
23 District of Pennsylvania and assigned to Judge Rufe, are treated as potential tag-along
24 actions. *See id.*; *see also* Rules 7.4 and 7.5, R.P.J.P.M.L. 199 F.R.D. 425, 435-36 (2001).
25 GSK intends to seek the transfer of this action to that Multidistrict Litigation, *In re*
26 *Avandia Marketing, Sales Practices and Products Liability Litigation*, MDL 1871, and
27 shortly will provide the JPML with notice of this action pursuant to the procedure for
28 "tag along" actions set forth in the rules of the JPML. Cosner Decl. ¶ 8.

6. As more fully set forth below, this case is properly removed to this Court pursuant to 28 U.S.C. § 1441 because GSK has satisfied the procedural requirements for removal and this Court has subject matter jurisdiction over this action pursuant to 28 U.S.C. §§ 1331 and 1332.

II. DIVERSITY JURISDICTION

7. This Court has subject matter jurisdiction pursuant to 28 U.S.C. § 1332 because this is a civil action in which the amount in controversy exceeds the sum of \$75,000, exclusive of costs and interest, and is between citizens of different states.

A. Diversity Of Citizenship

8. The Complaint names an individual plaintiff bringing suit in her representative capacity. *See* Cosner Decl., Exh. A, ¶ 10:

a. Plaintiff Jerry Kellum, surviving spouse of George L. Kellum Jr., alleges that she is a “resident” of the State of Louisiana. Accordingly, at the time this action was commenced, she was a citizen of the State of Louisiana. *Id.* at ¶ 10.

9. GSK is, and was at the time Plaintiff commenced this action, a corporation organized under the laws of the Commonwealth of Pennsylvania with its principal place of business in Philadelphia, Pennsylvania, and therefore, is a citizen of Pennsylvania for purposes of determining diversity. 28 U.S.C. § 1332(c)(1). Cosner Decl. ¶ 9.

10. For the reasons set forth below, the remaining named defendant – McKesson, a Delaware corporation, with its principal place of business in San Francisco, California – has not been “properly joined and served” and is otherwise fraudulently joined. *See* 28 U.S.C. § 1441(b); and Cosner Decl. ¶ 3. Therefore, its citizenship must be ignored for the purpose of determining the propriety of removal. *See McCabe v. General Foods*, 811 F.2d 1336, 1339 (9th Cir. 1987); *Waldon v. Novartis Pharmaceuticals Corp.*, 2007 U.S. Dist. LEXIS 45809 (N.D. Cal. June 18, 2007).

B. The Amount In Controversy Requirement Is Satisfied

11. It is apparent on the face of the Complaint that Plaintiff seeks an amount in controversy in excess of \$75,000, exclusive of costs and interest.

12. Plaintiff alleges that her decedent ingested Avandia, and, as a result, suffered a heart attack and died. *See* Cosner Decl. Exh. A, ¶ 34. Plaintiff further alleges that Plaintiff's decedent "suffered severe and permanent physical injuries," and "endured substantial pain and suffering and underwent extensive medical and surgical procedures." *See id. at* ¶ 51:6-8.

13. Plaintiff claims that her decedent "suffered extensive monetary and pecuniary losses and other compensatory damages," and "incurred and paid out necessary medical, hospital, and concomitant expenses." *See* Cosner Decl. Exh. A, ¶ 42:16-18.

14. Plaintiff alleges that she has suffered economic loss, and has otherwise been physically, emotionally and economically injured, and that her injuries and damages are permanent and will continue into the future. *See* Cosner Decl. Exh. A, ¶ 51:10-12.

15. Plaintiff seeks actual and punitive damages. *See* Cosner Decl. Exh. A, ¶ 51:11-12.

16. Punitive damages are included in the calculation of the amount in controversy. *See Bell v. Preferred Life Assurance Society*, 320 U.S. 238, 240 (1943).

17. Given the allegations set forth above, the face of the Complaint makes clear that Plaintiff seeks an excess of \$75,000, exclusive of interest and costs. *See Simmons v. PCR Tech.*, 209 F. Supp. 2d 1029, 1031 (N.D. Cal. 2002).

C. **The Citizenship of McKesson Must Be Ignored Because McKesson Has Not Been Properly Joined and Served**

18. Under 28 U.S.C. § 1441(b), an action is removable only if none of the parties in interest, *properly joined and served* as defendants, is a citizen of the State in which such action is brought. 28 U.S.C. § 1441(b) (emphasis added).

19. McKesson, although a citizen of California, has not yet been served with the Complaint in this case. Cosner Decl. ¶ 3.

20. Accordingly, because there is complete diversity of citizenship and because no "properly joined and served defendant" is a citizen of this State, it is appropriate that this action be removed to this Court. *See Waldon v. Novartis Pharmaceuticals Corp.*,

1 2007 U.S. Dist. LEXIS 45809 (N.D. Cal. June 18, 2007); *see also* 28 U.S.C. § 1441(b).

2 **D. The Citizenship Of McKesson Must Be Ignored Because McKesson Is**
3 **Fraudulently Joined**

4 21. A defendant is fraudulently joined, and its presence in the lawsuit is
5 ignored for purposes of determining diversity, “if the plaintiff fails to state a cause of
6 action against the resident defendant, and the failure is obvious according to the settled
7 rules of the state.” *Morris v. Princess Cruises, Inc.*, 236 F.3d 1061, 1067 (9th Cir.
8 2001); *see also Hamilton Materials, Inc. v. Dow Chemical Corporation*, 494 F.3d. 1203,
9 1206 (9th Cir. 2007).

10 22. McKesson is fraudulently joined because Plaintiff has failed to make any
11 material allegations against it. *See Brown v. Allstate Insur.*, 17 F. Supp. 2d 1134, 1137
12 (S.D. Cal. 1998) (finding in-state defendants fraudulently joined where “no material
13 allegations against [the in-state defendants] are made”). Plaintiff specifically alleges that
14 Avandia was created and marketed by GSK; that GSK had longstanding knowledge of
15 Avandia-related dangers which GSK failed to adequately warn and disclose to
16 consumers; that GSK concealed, suppressed and failed to disclose these referenced
17 dangers; that GSK has represented and has continued to represent that it manufactures
18 and/or sells safe and dependable pharmaceuticals; that GSK has failed to adequately warn
19 or inform consumers, such as Plaintiff’s decedent or Plaintiff’s decedent’s prescribing
20 physicians of known defects in Avandia; and that as a result of GSK’s omissions and/or
21 misrepresentations, Plaintiff’s decedent ingested Avandia. *See Cosner Decl. Exh. A*, at
22 ¶¶ 21:20, 25:4-7, 26:12-13, 27:15-1, 32:23-24, 33:1-2.

23 23. Plaintiff fails to make any specific material assertions against McKesson,
24 and does not allege that the decedent ingested Avandia that was distributed by
25 McKesson, compelling the conclusion that Plaintiff has fraudulently joined McKesson in
26 an attempt to defeat diversity jurisdiction. *See e.g., Lyons v. American Tobacco Co.*, No.
27 Civ. A. 96-0881-BH-S, 1997 U.S. Dist. LEXIS 18365 (S.D. Ala. 1997) (holding that
28 there is “no better admission of fraudulent joinder of [the resident defendant]” than the

1 failure of the plaintiff "to set forth any specific factual allegations" against them).
 2 Plaintiff cannot cure this deficiency by simply relying on allegations directed toward
 3 "Defendants" or GSK alone.

4 24. In the body of the Complaint, Plaintiff assert claims of: (1) negligence; (2)
 5 negligent failure to adequately warn; (3) negligence per se; (4) negligent
 6 misrepresentation; (5) breach of express warranty; (6) breach of implied warranty; (7)
 7 strict products liability – defective design; (8) strict products liability – manufacturing
 8 and design defect; (9) strict products liability – failure to adequately warn; (10)
 9 fraudulent misrepresentation; (11) violations of California Unfair Trade Practices and
 10 Consumer Protection Law; (12) unjust enrichment; (13) wrongful death; (14) survival
 11 action; (15) loss of consortium; and (16) punitive damages. In these allegations, Plaintiff
 12 avers that collectively, "Defendants" or "Defendants GSK and McKesson," defectively
 13 designed and manufactured the product; concealed knowledge of unreasonably dangerous
 14 risks associated with the product; failed to conduct adequate and sufficient pre-clinical
 15 testing and post-marketing surveillance of the product; failed to provide FDA with
 16 complete and adequate information regarding the product; failed to warn consumers
 17 and/or their health care providers of certain risks associated with the product; failed to
 18 utilize adequate and non-misleading labeling; and made affirmative misrepresentations
 19 and omissions regarding the risks associated with taking Avandia. All of these claims are
 20 substantively based on the design and manufacture of the product, failure to warn,
 21 fraudulent concealment, and inadequate pre-clinical testing and post-marketing
 22 surveillance. As a wholesale distributor of Avandia, McKesson played no role in its
 23 testing, marketing or advertising. All McKesson did was pass along unopened boxes of
 24 Avandia, in unadulterated form, to hospitals and other businesses in the healthcare
 25 industry. *See* Declaration of Greg Yonko paragraphs 6-7, attached as Exhibit "C" to
 26 Cosner Decl.¹

27
 28 ¹ The Declaration of McKesson's representative, Greg Yonko may be considered by the Court in

25. Further, based on the “learned intermediary” doctrine, McKesson bore no duty to warn Plaintiff’s decedent. The “learned intermediary” doctrine, the foundation of prescription drug product liability law, provides that the duty to warn about a drug’s risks runs from the manufacturer to the physician (the “learned intermediary”), and then from the physician to the patient. *See Brown v. Superior Court (Abbott Labs.)*, 44 Cal. 3d 1049, 1061-62, n.9 (1988); *Carlin v. Superior Court (Upjohn Co.)*, 13 Cal. 4th 1104, 1116 (1996). It is the physician, and only the physician, who is charged with prescribing the appropriate drug and communicating the relevant risks to the patient. *See Brown*, 44 Cal. 3d at 1061-62.

26. GSK and the FDA prepared the information to be included with the prescription drug, Avandia, with the FDA having final approval of the information that could be presented. Once the FDA has determined the form and content of the information, it is a violation of federal law to augment the information. *See* 21 U.S.C. §331(k) (prohibiting drug manufacturers and distributors from causing the “alteration, mutilation, destruction, obliteration, or removal of the whole or any part of the labeling” of an FDA-approved drug held for sale); *Brown v. Superior Court*, 44 Cal.3d 1049, 1069 n.12 (noting that the FDA regulates the testing, manufacturing, and marketing of drugs, including the content of their warning labels). Therefore, any safety and warning information McKesson had about Avandia would have come from GSK in the form of FDA-approved packaging and labeling. McKesson could not change the labeling it was given by GSK as approved by the FDA without violating federal law. No duty can be found where it requires a party to violate the law to fulfill it.

27. As such, given the lack of a causal connection between the injuries alleged

determining whether McKesson is fraudulently joined. *Maffei v. Allstate California Ins. Co.*, 412 F.Supp.2d 1049 (E.D. Cal. 2006) (“[t]he court may pierce the pleadings, consider the entire record, and determine the basis of joinder by any means available”) *citing Lewis v. Time, Inc.*, 83 F.R.D. 455 (E.D. Cal. 1979) (“it is well settled that upon allegations of fraudulent joinder...federal courts may look beyond the pleadings to determine if the joinder...is a sham or fraudulent device to prevent removal”). *See also Ritchey v. Upjohn Drug Co.*, 139 F.3d 1313, 1318-19 (9th Cir. 1998) (evidence may be presented by the removing party that there is no factual basis for the claims pleaded against the local defendant).

1 by Plaintiff and McKesson's conduct, as well as the absence of any legal or factual basis
 2 for Plaintiff's claims against McKesson, McKesson's joinder is fraudulent and its
 3 citizenship should be ignored for purposes of determining the propriety of removal.

4 **III. FEDERAL QUESTION JURISDICTION**

5 28. This Court has federal question jurisdiction over Plaintiff's claims under
 6 28 U.S.C. § 1331 and the principles set forth in *Grable & Sons Metal Prods., Inc. v.*
 7 *Darue Eng'g & Mfg.*, 125 S. Ct. 2363 (2005).

8 29. As more fully explained below, Plaintiff has made violations of federal law
 9 critical elements of several of their claims.

10 **A. Plaintiff's Claims Require Construction and Application of the FDCA** 11 **and Its Implementing Regulations**

12 30. Count III of Plaintiff's Complaint, "Negligence Per Se," explicitly alleges
 13 that defendants violated federal law. Plaintiff claims, *inter alia*, that "[d]efendants
 14 "violated the Federal Food, Drug and Cosmetic Act, 21 U.S.C. Section 301 *et seq.*,
 15 related amendments and codes and federal regulations provided thereunder, and other
 16 applicable laws, statutes, and regulations." *See* Cosner Decl. Exh A, ¶ 55.

17 31. Plaintiff further claims that "[d]efendants' acts constituted an adulteration
 18 and/or misunderstanding [*sic*] as defined by the Federal Food, Drug and Cosmetic Act,
 19 21 U.S.C. § 331. . . ." *See* Cosner Decl. Exh A, ¶ 57.

20 32. Moreover, Count II of the Plaintiff's Complaint, "Negligent Failure to
 21 Adequately Warn," and Count IX, "Strict Products Liability – Failure to Adequately
 22 Warn," also require construction and application of the FDCA and implementing federal
 23 regulations, which govern approval of prescription drugs and regulate prescription drug
 24 manufacturers' public and promotional statements, including all aspects of warnings and
 25 labeling.

26 33. As a currently-marketed prescription drug, Avandia is subject to extensive
 27 regulation by the FDA. The FDCA requires the FDA to ensure that "drugs are safe and
 28 effective" for their intended uses, 21 U.S.C. § 393(b)(2)(B), in part by "promptly and

1 officially reviewing clinical research and taking appropriate action on the marketing of
2 regulated products.” 21 U.S.C. § 393(b)(1). The Secretary of the FDA has the authority
3 to promulgate regulations to enforce the FDCA, which are codified in the *Code of*
4 *Federal Regulations*, 21 C.F.R. § 200, *et seq.* See 21 U.S.C. § 371(a).

5 34. To accomplish its purpose, the FDA maintains a Center for Drug
6 Evaluation and Research (the “CDER”). The CDER regulates pharmaceutical
7 companies’ development, testing and research, and manufacture of drugs. The CDER
8 examines data generated by these companies to conduct a risk/benefit analysis and make
9 an approval decision. The CDER also ensures truthful advertising for prescription drugs,
10 in part by approving Package Inserts that properly outline benefit and risk information.
11 Once drugs are marketed, the CDER continues to monitor them for unexpected health
12 risks that may require public notification, a change in labeling, or removal of the product
13 from the market. In short, the CDER evaluates and monitors the effectiveness and safety
14 of prescription drugs. See <http://www.fda.gov/cder/about/faq/default.htm>.

15 35. Promotional communications to physicians about Avandia are contained
16 within, and restricted by, warning, labeling, and promotional materials, such as the
17 Package Insert, that are approved and monitored by the FDA to ensure the provision of
18 accurate information about the drug’s respective risks and benefits. Under federal
19 regulations, even claims in promotional labeling or advertising must be consistent with
20 approved labeling. See 21 C.F.R. § 202.1(e)(4) (2005).

21 36. The FDA’s responsibility to regulate prescription drugs sold in the United
22 States, and to enforce laws with respect to such drugs, inclusive of the precise content
23 and format of prescription drug labeling (*e.g.*, the instructions, warning, precautions,
24 adverse reaction information provided by manufacturers, and marketing materials), is
25 plenary and exclusive. See 21 U.S.C. § 301, *et seq.*

26 37. Plaintiff has explicitly alleged violations of federal law in her “Negligence
27 Per Se” claim, and has made alleged violations of federal law a critical element of her
28 “Negligent Failure to Adequately Warn” and “Strict Products Liability – Failure to

1 Adequately Warn” claims. Accordingly, Plaintiff’s claims necessarily raise substantial
 2 federal questions by requiring the Court to construe and apply the FDCA and its
 3 implementing regulations.

4 **B. Federal Control of Drug Labeling and Warning**

5 38. On January 24, 2006, the FDA announced a rule that includes a detailed
 6 and emphatic statement of the FDA’s intention that its regulation and approval of
 7 prescription drug labeling preempt most state law claims related to the adequacy of
 8 prescription drug warnings because such claims frustrate “the full objectives of the
 9 Federal law.” *See* Requirements on Content and Format of Labeling for Human
 10 Prescription Drug and Biologic Products, 71 Fed. Reg. 3922, 3934 (Jan. 24, 2006) (“FDA
 11 believes that under existing preemption principles, FDA approval of labeling under the
 12 act. . . . preempts conflicting or contrary State law.”). *See also In re Bextra and*
 13 *Celebrex Marketing*, 2006 WL 2374742 (N.D. Cal., August 16, 2006) (Celebrex
 14 decision); *In re Bextra and Celebrex Marketing*, 2006 WL 2472484 (N.D. Cal., August
 15 24, 2006) (Bextra decision).

16 39. Plaintiff alleges that GSK failed to disclose certain risks of Avandia. *See*
 17 *e.g.*, Cosner Decl. Exh. A, ¶ 25:5-7. This allegation necessarily requires Plaintiff to
 18 establish that the FDA, which has exclusive jurisdiction over the labeling of drugs, would
 19 have approved the warning the Plaintiff alleges should have been given.

20 40. Accordingly, there is a substantial federal question with respect to whether
 21 Plaintiff can claim that GSK violated state law in light of the FDA’s control of Avandia’s
 22 labeling and warning and its position on conflict preemption.

23 **C. The Federal Interest In Providing A Forum**

24 41. The federal government has a strong interest in having a federal court
 25 decide several of the issues in this case. Among these issues are:

- 26 a. whether any conduct of GSK violated any federal laws or
- 27 regulations related to the labeling and marketing of Avandia; and
- 28 b. whether the FDA-approved Avandia label was false and misleading,

1 as alleged by Plaintiff, and whether a state may impose liability on
 2 GSK for not providing more information regarding alleged risks, as
 3 Plaintiff contends GSK should have done.

4 42. Plaintiff's claims may be vindicated or defeated only by construction of
 5 federal statutes and regulations. The availability of a federal forum to protect the
 6 important federal interests at issue is therefore consistent with *Grable*, and determination
 7 by a federal court of the substantial and disputed federal issues that lie at the heart of this
 8 case would not "disturb any congressionally approved balance of federal and state
 9 judicial responsibilities." *Grable*, 125 S. Ct. at 2368.

10 **IV. CONFORMANCE WITH PROCEDURAL REQUIREMENTS**

11 43. This Court has jurisdiction over this matter based on federal question and
 12 diversity of citizenship, and the present lawsuit may be removed from the Superior Court
 13 of the State of California for the County of San Francisco, and brought before the United
 14 States District Court for the Northern District of California pursuant to 28 U.S.C. §§
 15 1331, 1332 and 1441.

16 44. Neither GSK nor McKesson has been served with Plaintiff's Complaint.
 17 Cosner Decl. ¶ 3. Therefore, this Removal has been timely filed. *See* 28 U.S.C. §
 18 1446(b).

19 45. Since neither GSK nor McKesson has been "properly joined and served" at
 20 the time of filing this Removal, GSK is entitled to removal under the plain language of 28
 21 U.S.C. § 1441(b). *See Waldon v. Novartis Pharmaceuticals Corp.*, 2007 U.S. Dist.
 22 LEXIS 45809 (N.D. Cal. June 18, 2007). *See also* 28 U.S.C. § 1441(b); Cosner Decl. ¶
 23 3.

24 46. Moreover, although McKesson's consent to remove is not necessary
 25 because it is fraudulently joined, McKesson nonetheless consents to removal. *See* Cosner
 26 Decl. ¶ 10. *See also, e.g., Easley v. 3M Company, et al.*, 2007 WL 2888335 (N.D. Cal.
 27 2007) *citing Emrich v. Touche Ross & Co.*, 846 F.2d 1190, 1193 n.1 (9th Cir. 1988).

28 47. The United States District Court for the Northern District of California is

1 the federal judicial district encompassing the Superior Court of the State of California for
2 the County of San Francisco, where this suit was originally filed. Venue therefore is
3 proper in this district under 28 U.S.C. § 1441(a).

4 48. Pursuant to the provisions of 28 U.S.C §1 446(d), GSK will promptly file a
5 copy of this Notice of Removal with the clerk of the Superior Court of the State of
6 California for the County of San Francisco, where this suit was originally filed.

7 49. Defendant reserves the right to amend or supplement this Notice of
8 Removal.

9 **WHEREFORE**, GSK respectfully removes this action from the Superior Court of
10 the State of California for the County of San Francisco to the United States District Court
11 for the Northern District of California, pursuant to 28 U.S.C. § 1441.

12 Dated: March 5, 2008

13 DRINKER BIDDLE & REATH LLP

14 
15 DONALD F. ZIMMER, JR.
16 KRISTA L. COSNER

17 Attorneys for Defendants
18 SMITHKLINE BEECHAM
19 CORPORATION dba
20 GLAXOSMITHKLINE and McKESSON
21 CORPORATION
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JS 44 - CAND (Rev. 11/04)

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON PAGE TWO.)

I. (a) PLAINTIFFS

JERRY KELLUM as personal representative of
GEORGE L. KELLUM, JR. (deceased)

DEFENDANTS

SMITHKLINE BEECHAM CORPORATION dba
GLAXOSMITHKLINE, and McKESSON CORPORATION,

(b) COUNTY OF RESIDENCE OF FIRST LISTED PLAINTIFF Louisiana
(EXCEPT IN U.S. PLAINTIFF CASES)

COUNTY OF RESIDENCE OF FIRST LISTED DEFENDANT Philadelphia, PA
(IN U.S. PLAINTIFF CASES ONLY)

NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE
TRACT OF LAND INVOLVED.

(c) ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)

David C. Andersen
The Miller Firm, LLC
108 Railroad Avenue
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(540) 672-4224

ATTORNEYS (IF KNOWN)

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Krista L. Cosner, Esq.
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50 Fremont St., 20th Floor
San Francisco, CA 94105

II. BASIS OF JURISDICTION (PLACE AN "X" IN ONE BOX ONLY)

- ☐ 1 U.S. Government Plaintiff
☐ 2 U.S. Government Defendant
☒ 3 Federal Question (U.S. Government Not a Party)
☒ 4 Diversity (Indicate Citizenship of Parties in Item III)

III. CITIZENSHIP OF PRINCIPAL PARTIES (PLACE AN "X" IN ONE BOX FOR PLAINTIFF AND ONE BOX FOR DEFENDANT)

- | | PTF | DEF | | PTF | DEF |
|---|---------------------------------------|----------------------------|---|----------------------------|---------------------------------------|
| Citizen of This State | <input checked="" type="checkbox"/> 1 | <input type="checkbox"/> 1 | Incorporated or Principal Place of Business in This State | <input type="checkbox"/> 4 | <input checked="" type="checkbox"/> 4 |
| Citizen of Another State | <input type="checkbox"/> 2 | <input type="checkbox"/> 2 | Incorporated and Principal Place of Business in Another State | <input type="checkbox"/> 5 | <input checked="" type="checkbox"/> 5 |
| Citizen or Subject of a Foreign Country | <input type="checkbox"/> 3 | <input type="checkbox"/> 3 | Foreign Nation | <input type="checkbox"/> 6 | <input type="checkbox"/> 6 |

IV. ORIGIN

(PLACE AN "X" IN ONE BOX ONLY)

- ☐ 1 Original Proceeding
☒ 2 Removed from State Court
☐ 3 Remanded from Appellate Court
☐ 4 Reinstated or Reopened
☐ 5 Transferred from Another district (specify)
☐ 6 Multidistrict Litigation
☐ 7 Appeal to District Judge from Magistrate Judgment

V. NATURE OF SUIT (PLACE AN "X" IN ONE BOX ONLY)

CONTRACT	TORTS	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
<input type="checkbox"/> 110 Insurance <input type="checkbox"/> 120 Marine <input type="checkbox"/> 130 Miller Act <input type="checkbox"/> 140 Negotiable Instrument <input type="checkbox"/> 150 Recovery of Overpayment & Enforcement of Judgment <input type="checkbox"/> 161 Medicare Act <input type="checkbox"/> 162 Recovery of Defaulted Student Loans (Excl Veterans) <input type="checkbox"/> 163 Recovery of Overpayment of Veteran's Benefits <input type="checkbox"/> 160 Stockholders Suits <input type="checkbox"/> 190 Other Contract <input type="checkbox"/> 195 Contract Product Liability <input type="checkbox"/> 196 Franchise	PERSONAL INJURY <input type="checkbox"/> 310 Airplane <input type="checkbox"/> 315 Airplane Product Liability <input type="checkbox"/> 320 Assault Libel & Slander <input type="checkbox"/> 330 Federal Employers Liability <input type="checkbox"/> 340 Marine <input type="checkbox"/> 345 Marine Product Liability <input type="checkbox"/> 350 Motor Vehicle <input type="checkbox"/> 355 Motor Vehicle Product Liability <input type="checkbox"/> 360 Other Personal Injury PERSONAL INJURY <input checked="" type="checkbox"/> 362 Personal Injury Med Malpractice <input checked="" type="checkbox"/> 365 Personal Injury Product Liability <input type="checkbox"/> 366 Asbestos Personal Injury Product Liability PERSONAL PROPERTY <input type="checkbox"/> 370 Other Fraud <input type="checkbox"/> 371 Truth in Lending <input type="checkbox"/> 380 Other Personal Property Damage <input type="checkbox"/> 385 Property Damage Product Liability	<input type="checkbox"/> 610 Agriculture <input type="checkbox"/> 620 Other Food & Drug <input type="checkbox"/> 625 Drug Related Seizure of Property 21 USC 881 <input type="checkbox"/> 630 Liquor Laws <input type="checkbox"/> 640 RR & Truck <input type="checkbox"/> 650 Airline Regs <input type="checkbox"/> 660 Occupational Safety/Health <input type="checkbox"/> 690 Other LABOR <input type="checkbox"/> 710 Fair Labor Standards Act <input type="checkbox"/> 720 Labor/Mgmt Relations <input type="checkbox"/> 730 Labor/Mgmt Reporting & Disclosure Act <input type="checkbox"/> 740 Railway Labor Act <input type="checkbox"/> 790 Other Labor Litigation <input type="checkbox"/> 791 Empl. Ret. Inc. Security Act	<input type="checkbox"/> 422 Appeal 28 USC 158 <input type="checkbox"/> 423 Withdrawal 28 USC 167 PROPERTY RIGHTS <input type="checkbox"/> 820 Copyrights <input type="checkbox"/> 830 Patent <input type="checkbox"/> 840 Trademark SOCIAL SECURITY <input type="checkbox"/> 861 HIA (1395ff) <input type="checkbox"/> 862 Black Lung (923) <input type="checkbox"/> 863 DIWC/DIWW (405(g)) <input type="checkbox"/> 864 SSID Title XVI <input type="checkbox"/> 865 RSI (405(g)) FEDERAL TAX SUITS <input type="checkbox"/> 870 Taxes (US Plaintiff or Defendant) <input type="checkbox"/> 871 IRS - Third Party 26 USC 7609	<input type="checkbox"/> 400 State Reapportionment <input type="checkbox"/> 410 Antitrust <input type="checkbox"/> 430 Banks and Banking <input type="checkbox"/> 460 Commerce/ICC Rates/etc. <input type="checkbox"/> 460 Deportation <input type="checkbox"/> 470 Racketeer Influenced and Corrupt Organizations <input type="checkbox"/> 480 Consumer Credit <input type="checkbox"/> 490 Cable/Satellite TV <input type="checkbox"/> 810 Selective Service <input type="checkbox"/> 860 Securities/Commodities/Exchange <input type="checkbox"/> 875 Customer Challenge 12 USC 3410 <input type="checkbox"/> 891 Agricultural Acts <input type="checkbox"/> 892 Economic Stabilization Act <input type="checkbox"/> 893 Environmental Matters <input type="checkbox"/> 894 Energy Allocation Act <input type="checkbox"/> 895 Freedom of Information Act <input type="checkbox"/> 900 Appeal of Fee Determination Under Equal Access to Justice <input type="checkbox"/> 950 Constitutionality of State Statutes <input type="checkbox"/> 890 Other Statutory Actions
REAL PROPERTY <input type="checkbox"/> 210 Land Condemnation <input type="checkbox"/> 220 Foreclosure <input type="checkbox"/> 230 Rent Lease & Ejectment <input type="checkbox"/> 240 Torts to Land <input type="checkbox"/> 245 Tort Product Liability <input type="checkbox"/> 290 All Other Real Property	CIVIL RIGHTS <input type="checkbox"/> 441 Voting <input type="checkbox"/> 442 Employment <input type="checkbox"/> 443 Housing <input type="checkbox"/> 444 Welfare <input type="checkbox"/> 440 Other Civil Rights <input type="checkbox"/> 445 Amer w/ disab - Empl <input type="checkbox"/> 446 Amer w/ disab - Other PRISONER PETITIONS <input type="checkbox"/> 610 Motion to Vacate Sentence <input type="checkbox"/> Habeas Corpus: <input type="checkbox"/> 630 General <input type="checkbox"/> 635 Death Penalty <input type="checkbox"/> 640 Mandamus & Other <input type="checkbox"/> 650 Civil Rights <input type="checkbox"/> 655 Prison Condition			

VI. CAUSE OF ACTION (CITE THE US CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE BRIEF STATEMENT OF CAUSE. DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

28 U.S.C. Section 1332

VII. REQUESTED IN COMPLAINT:

☐ CHECK IF THIS IS A CLASS ACTION DEMAND \$ See Below
UNDER F.R.C.P. 23 In excess of jurisdictional amount.

CHECK YES only if demanded in complaint:
JURY DEMAND: ☒ YES ☐ NO

VIII. RELATED CASE(S) IF ANY

PLEASE REFER TO CIVIL L.R. 3-12 CONCERNING REQUIREMENT TO FILE
"NOTICE OF RELATED CASE".

IX. DIVISIONAL ASSIGNMENT (CIVIL L.R. 3-2) (PLACE AN "X" IN ONE BOX ONLY)

☒ SAN FRANCISCO/OAKLAND ☐ SAN JOSE

DATE March 5, 2008

SIGNATURE OF ATTORNEY OF RECORD

